



US009138330B2

(12) **United States Patent**
Hansell et al.

(10) **Patent No.:** **US 9,138,330 B2**
(45) **Date of Patent:** **Sep. 22, 2015**

(54) **INTERVERTEBRAL SPACER**

(75) Inventors: **Noah Hansell**, King of Prussia, PA (US);
Marcin Niemiec, Bridgeport, PA (US);
William S. Rhoda, Media, PA (US);
Michael Meccariello, Media, PA (US)

(73) Assignee: **Globus Medical, Inc.**, Audubon, PA (US)

(*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **13/406,663**

(22) Filed: **Feb. 28, 2012**

(65) **Prior Publication Data**

US 2012/0165945 A1 Jun. 28, 2012

Related U.S. Application Data

(63) Continuation of application No. 12/250,168, filed on Oct. 13, 2008, now Pat. No. 8,147,554.

(51) **Int. Cl.**
A61F 2/44 (2006.01)
A61B 17/58 (2006.01)

(Continued)

(52) **U.S. Cl.**
CPC **A61F 2/4465** (2013.01); **A61F 2/4611** (2013.01); **A61F 2/30734** (2013.01); **A61F 2002/3008** (2013.01); **A61F 2002/3082** (2013.01); **A61F 2002/30131** (2013.01); **A61F 2002/30364** (2013.01); **A61F 2002/30365** (2013.01); **A61F 2002/30538** (2013.01); **A61F 2002/30594** (2013.01); **A61F 2002/30616** (2013.01); **A61F 2002/30785** (2013.01); **A61F 2002/30843** (2013.01); **A61F 2002/4475** (2013.01); **A61F 2002/4627** (2013.01); **A61F 2002/4629** (2013.01); **A61F 2220/0033** (2013.01); **A61F 2230/0013** (2013.01);

(Continued)

(58) **Field of Classification Search**

CPC A61F 2/44; A61F 2/4455; A61F 2/4465; A61F 2/46; A61F 2/4611; A61F 2002/30125; A61F 2002/30133; A61F 2002/44; A61F 2002/4475; A61F 2002/46; A61F 2002/4603; A61F 2002/4615; A61F 2002/4642; A61F 2002/4624; A61B 17/56; A61B 17/562; A61B 17/88; A61B 2017/0046
USPC 623/17.11–17.16; 606/60, 246–249, 99
See application file for complete search history.

(56) **References Cited**

U.S. PATENT DOCUMENTS

5,562,736 A 10/1996 Ray et al.
5,607,424 A 3/1997 Tropiano

(Continued)

FOREIGN PATENT DOCUMENTS

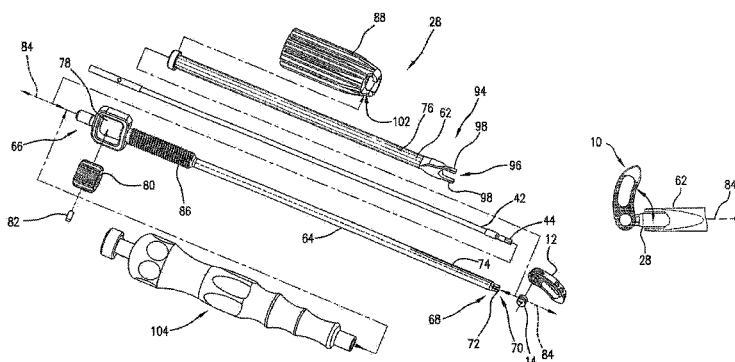
EP 1925271 B1 8/2009
WO 2006079356 A1 8/2006
WO 2010/075555 A2 7/2010

Primary Examiner — Alvin Stewart

(57) **ABSTRACT**

Disclosed is an assembly and method for implant installation between adjacent vertebral bodies of a patient. The implant has a support body and a rotatable insert therein and the support body is curved for installation between adjacent vertebral bodies transforaminally. An installation instrument is also disclosed for removable attachment to implant and engagement with the rotatable insert to selectively permit rotation between the insert and the support body. The installation instrument extends along a longitudinal tool axis and when the installation instrument is in a first position the insert is rotationally fixed with respect to the support body and when the installation instrument is in a second position the support body may rotate with respect to the insert.

31 Claims, 9 Drawing Sheets



(51)	Int. Cl. <i>A61F 2/46</i> <i>A61F 2/30</i>	(2006.01) (2006.01)	7,901,458 B2 *	3/2011	DeRidder et al.	623/17.11
			8,147,554 B2 *	4/2012	Hansell et al.	623/17.16
			D665,081 S *	8/2012	Hansell et al.	D24/155
(52)	U.S. Cl. CPC . <i>A61F2250/0006</i> (2013.01); <i>A61F 2250/0098</i> (2013.01)		8,506,629 B2 *	8/2013	Weiland	623/17.11
			2004/0024462 A1	2/2004	Ferree et al.	
			2004/0127990 A1 *	7/2004	Bartish et al.	623/17.11
(56)	References Cited U.S. PATENT DOCUMENTS		2004/0127993 A1 *	7/2004	Kast et al.	623/17.16
			2004/0153065 A1 *	8/2004	Lim	606/53
			2004/0172135 A1	9/2004	Mitchell	
			2004/0186574 A1 *	9/2004	Varga et al.	623/17.11
			2005/0033435 A1	2/2005	Belliard et al.	
			2005/0065611 A1	3/2005	Huppert et al.	
			2005/0096745 A1 *	5/2005	Andre et al.	623/17.11
			2005/0143824 A1	6/2005	Richelsoph et al.	
			2005/0154462 A1	7/2005	Zucherman et al.	
			2005/0159818 A1	7/2005	Blain	
			2005/0228500 A1	10/2005	Kim et al.	
			2005/0234555 A1	10/2005	Sutton et al.	
			2005/0267581 A1	12/2005	Marnay et al.	
			2005/0283245 A1 *	12/2005	Gordon et al.	623/17.15
			2006/0004453 A1	1/2006	Bartish et al.	
			2006/0069439 A1	3/2006	Zucherman et al.	
			2006/0069440 A1	3/2006	Zucherman et al.	
			2006/0069441 A1	3/2006	Zucherman et al.	
			2006/0116769 A1	6/2006	Marnay et al.	
			2006/0129244 A1 *	6/2006	Ensign	623/17.16
			2006/0229627 A1 *	10/2006	Hunt et al.	606/86
			2006/0235426 A1	10/2006	Lim et al.	
			2006/0241761 A1	10/2006	Gately	
			2007/0043359 A1	2/2007	Altarac et al.	
			2007/0073405 A1	3/2007	Verhulst et al.	
			2007/0118223 A1	5/2007	Allard et al.	
			2007/0173942 A1	7/2007	Heinz et al.	
			2007/0225808 A1 *	9/2007	Warnick	623/17.11
			2007/0255413 A1 *	11/2007	Edie et al.	623/17.16
			2008/0009880 A1 *	1/2008	Warnick et al.	606/99
			2008/0065082 A1	3/2008	Chang et al.	
			2008/0077247 A1 *	3/2008	Murillo et al.	623/17.16
			2008/0082173 A1 *	4/2008	Delurio et al.	623/17.16
			2008/0091211 A1 *	4/2008	Gately	606/99
			2008/0125865 A1 *	5/2008	Abdelgany	623/17.16
			2008/0140085 A1 *	6/2008	Gately et al.	606/99
			2008/0147193 A1 *	6/2008	Matthis et al.	623/17.16
			2008/0221694 A1 *	9/2008	Warnick et al.	623/17.16
			2008/0243255 A1 *	10/2008	Butler et al.	623/17.16
			2008/0275506 A1 *	11/2008	Baynham et al.	606/261
			2008/0294259 A1	11/2008	De Villiers et al.	
			2008/0306488 A1 *	12/2008	Altarac et al.	606/99
			2008/0306489 A1 *	12/2008	Altarac et al.	606/99
			2009/0005874 A1	1/2009	Fleischmann et al.	
			2009/0018663 A1	1/2009	Cook et al.	
			2009/0024217 A1 *	1/2009	Levy et al.	623/17.16
			2009/0076607 A1 *	3/2009	Aalsma et al.	623/17.16
			2009/0082868 A1 *	3/2009	Cordaro et al.	623/17.16
			2009/0093883 A1 *	4/2009	Carrasco	623/17.16
			2009/0192616 A1	7/2009	Zielinski	
			2009/0234364 A1 *	9/2009	Crook	606/99
			2009/0254183 A1	10/2009	Humphreys et al.	
			2009/0265008 A1 *	10/2009	Thibodeau	623/17.16
			2009/0276049 A1 *	11/2009	Weiland	623/17.16
			2009/0299478 A1 *	12/2009	Carls et al.	623/17.16
			2010/0004746 A1	1/2010	Arramon	
			2010/0004752 A1	1/2010	White et al.	
			2010/0023128 A1 *	1/2010	Malberg	623/17.16
			2010/0030336 A1	2/2010	Cope	
			2010/0049325 A1 *	2/2010	Biedermann et al.	623/17.16
			2010/0094422 A1 *	4/2010	Hansell et al.	623/17.16
			2010/0145455 A1 *	6/2010	Simpson et al.	623/17.16
			2010/0161061 A1 *	6/2010	Hunt	623/17.16
			2010/0168862 A1 *	7/2010	Edie et al.	623/17.16
			2010/0191336 A1 *	7/2010	Greenhalgh	623/17.16
			2010/0191337 A1 *	7/2010	Zamani et al.	623/17.16
			2010/0204798 A1 *	8/2010	Gerbec et al.	623/17.16
			2010/0249937 A1 *	9/2010	Blain et al.	623/17.16
			2010/0256760 A1 *	10/2010	Hansell	623/17.11
			2010/0256764 A1 *	10/2010	Tsuang et al.	623/17.16
			2010/0318189 A1 *	12/2010	Edie et al.	623/17.12
			2011/0009970 A1 *	1/2011	Puno	623/17.16
			2011/0009972 A1 *	1/2011	Chauvin et al.	623/17.16

(56)

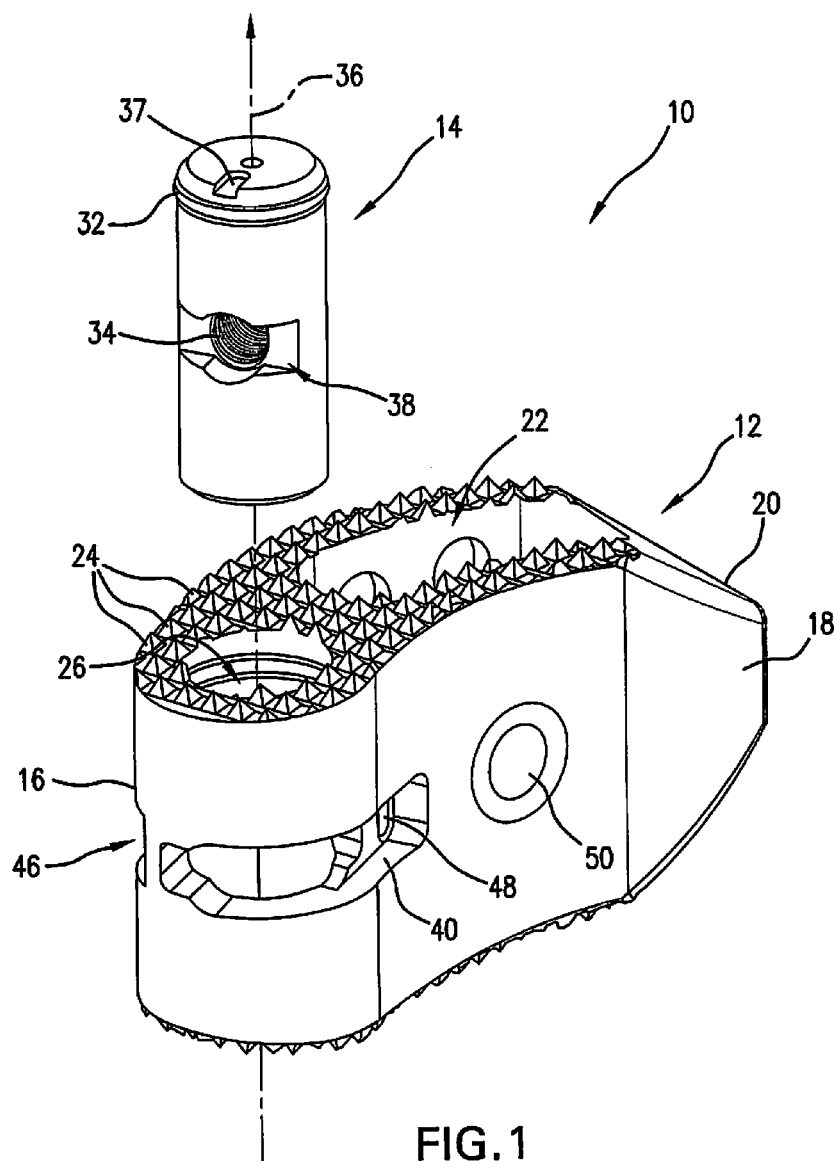
References Cited

U.S. PATENT DOCUMENTS

2011/0015747 A1* 1/2011 McManus et al. 623/17.16
 2011/0029085 A1* 2/2011 Hynes et al. 623/17.16
 2011/0029086 A1* 2/2011 Glazer et al. 623/17.16
 2011/0035011 A1* 2/2011 Cain 623/17.16
 2011/0054621 A1* 3/2011 Lim 623/17.16

2011/0270402 A1* 11/2011 Frey et al. 623/17.16
 2011/0276141 A1* 11/2011 Caratsch 623/17.16
 2011/0276142 A1* 11/2011 Niemiec et al. 623/17.16
 2012/0277866 A1* 11/2012 Kalluri et al. 623/17.16
 2012/0277869 A1* 11/2012 Siccardi et al. 623/17.16
 2012/0296430 A1* 11/2012 Edie et al. 623/17.16

* cited by examiner



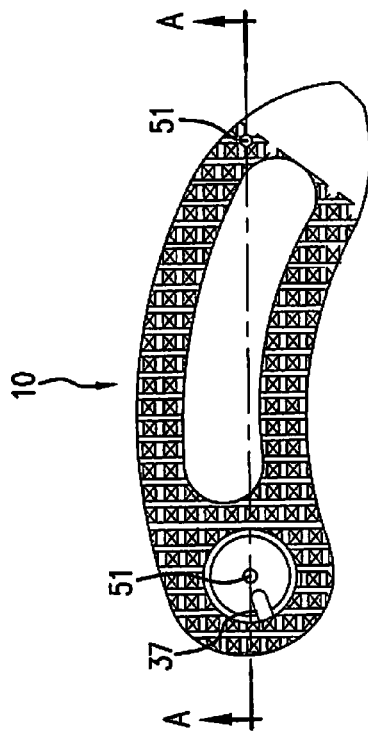
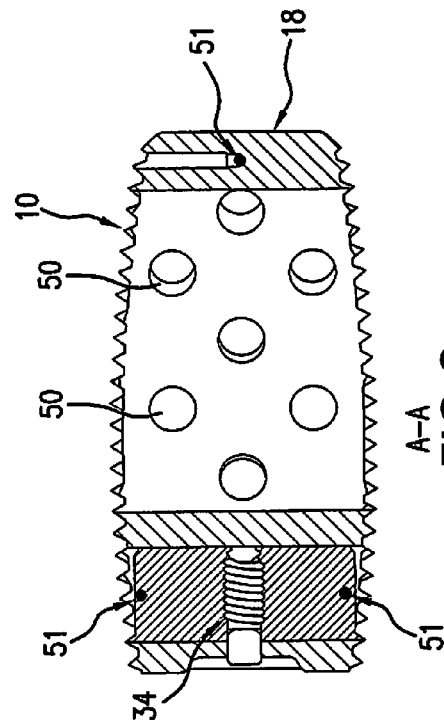
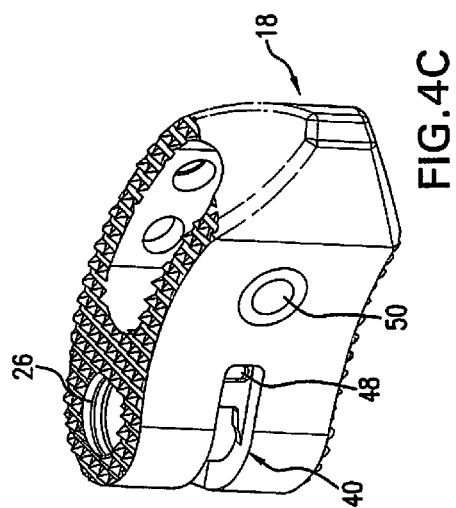
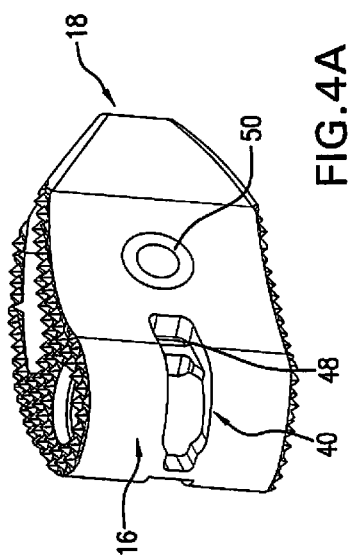
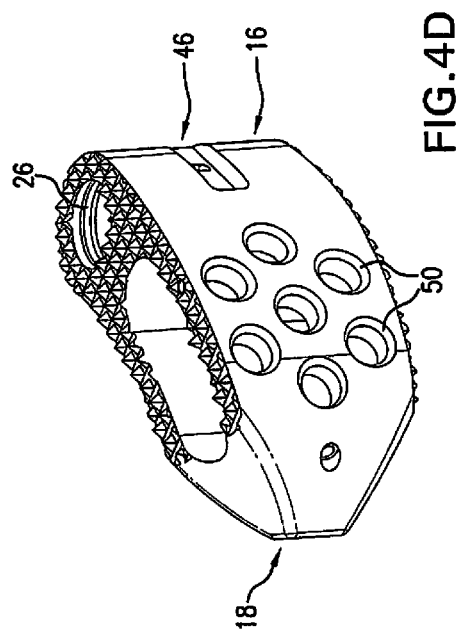
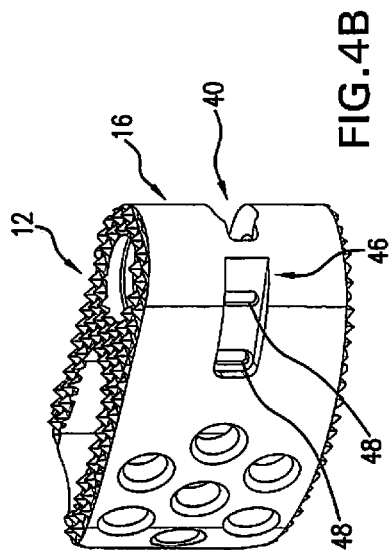


FIG. 2



A-A
FIG. 3



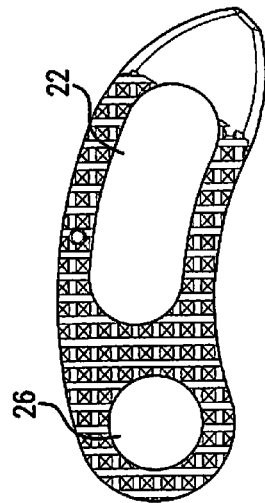


FIG. 5A

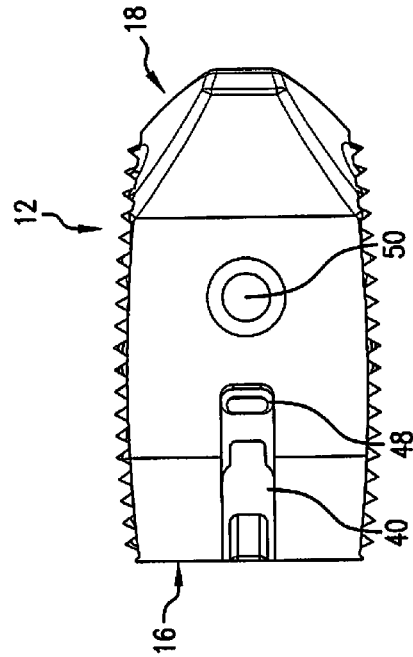


FIG. 5C

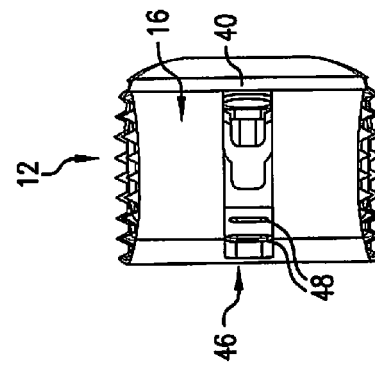


FIG. 5B

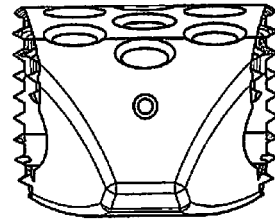
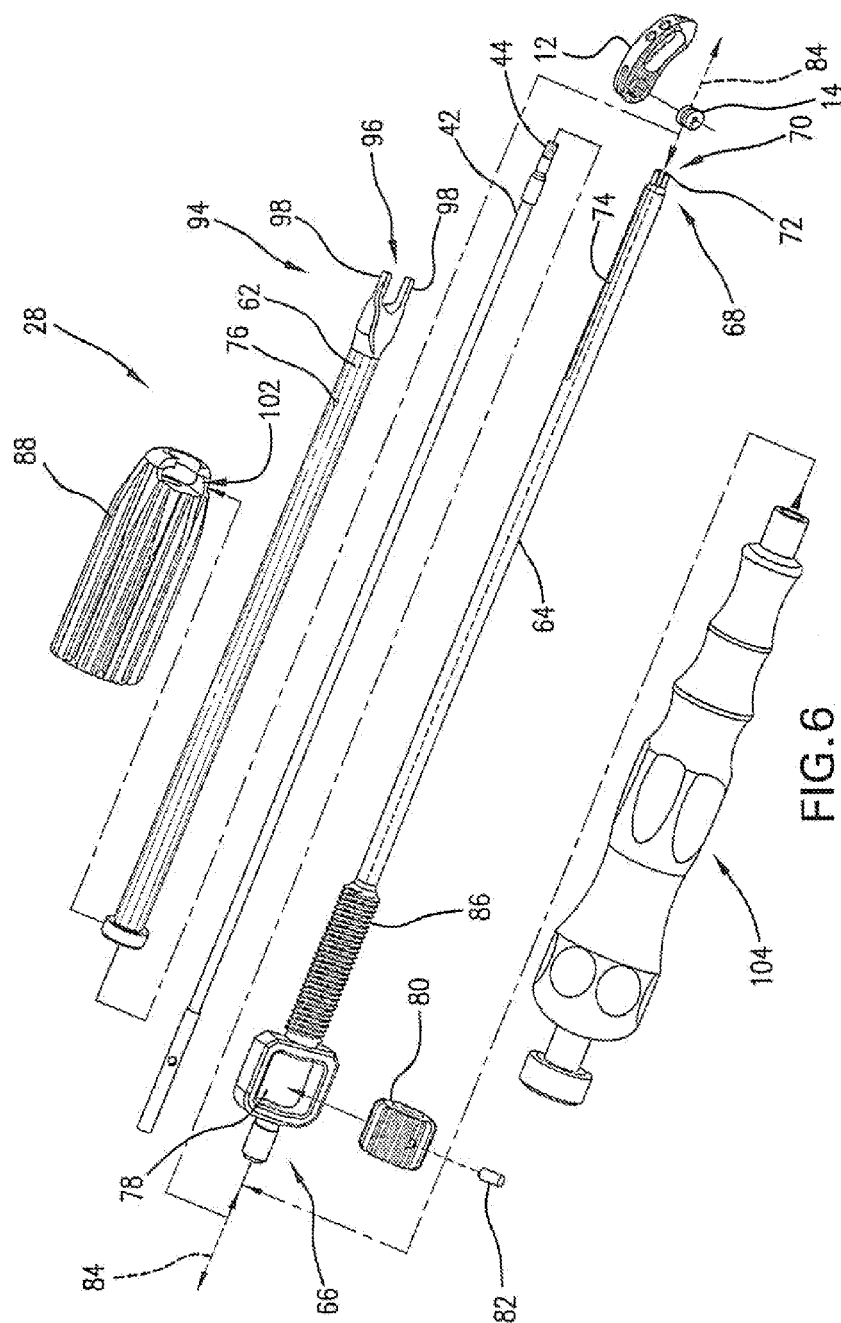


FIG. 5D



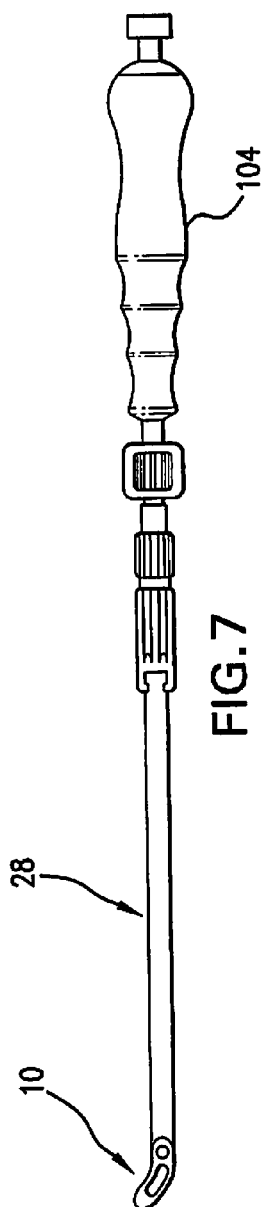


FIG. 7

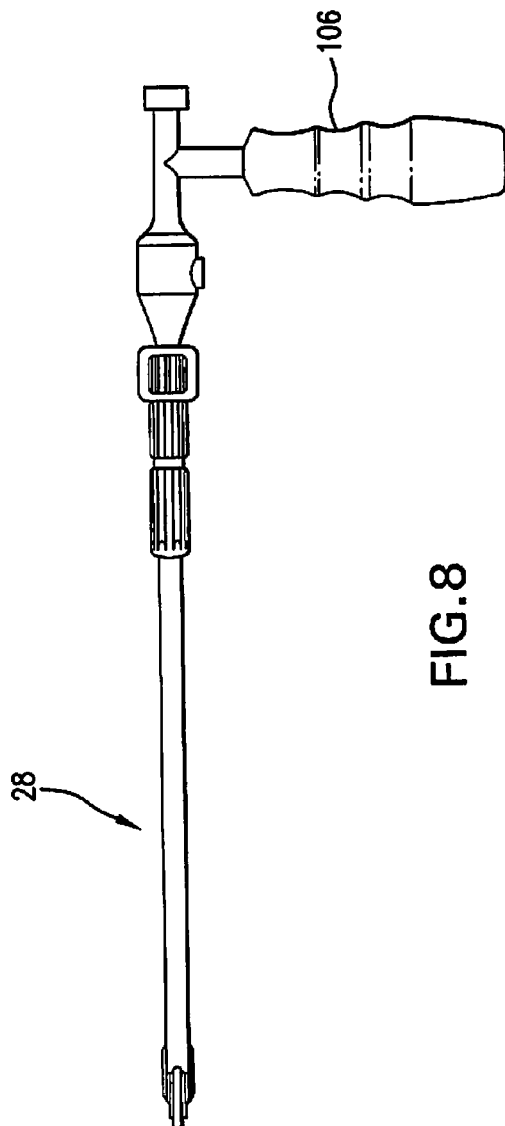
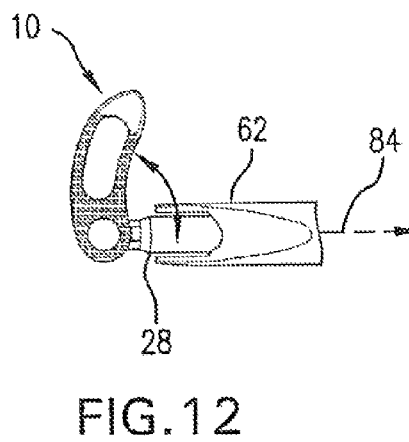
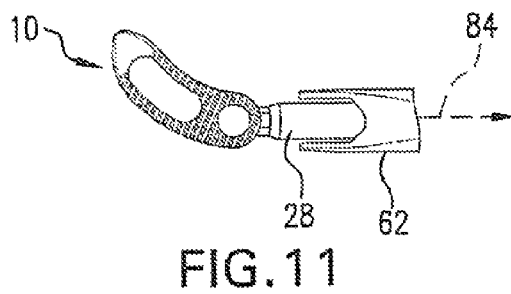
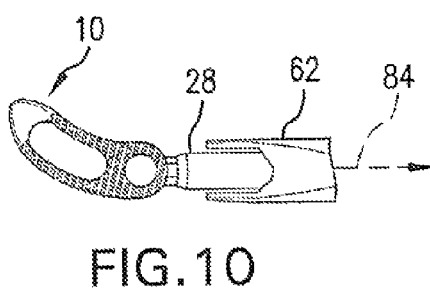
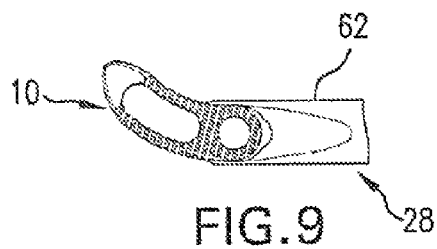


FIG. 8



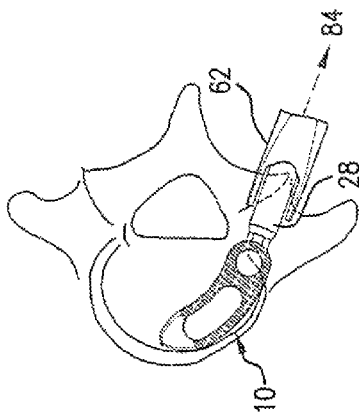


FIG. 14

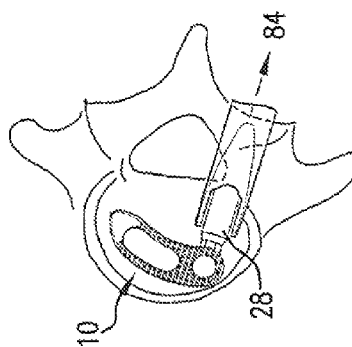


FIG. 16

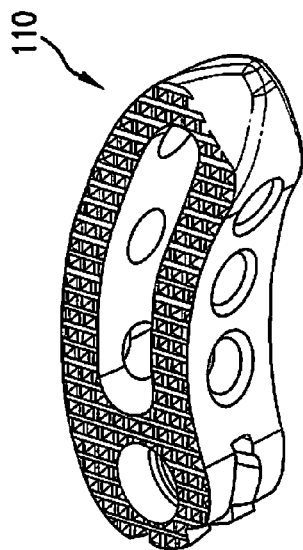


FIG. 17

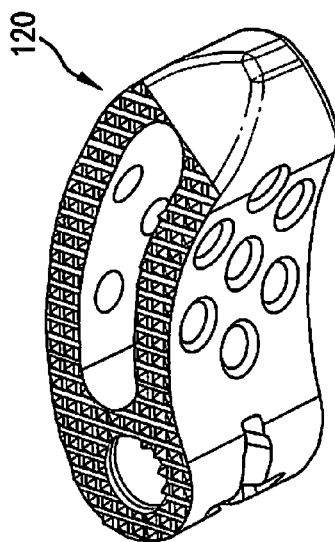


FIG. 18

1

INTERVERTEBRAL SPACER**CROSS REFERENCE TO RELATED APPLICATIONS**

This patent application is a continuation application claiming priority to U.S. patent application Ser. No. 12/250,168 filed on Oct. 13, 2008 now U.S. Pat. No. 8,147,554, the entire contents of which are incorporated by reference.

FIELD OF THE INVENTION

The present invention generally relates to intervertebral spacers for fusing vertebral bodies. In particular, certain embodiments are directed to an intervertebral spacer configured and dimensioned to be implanted transforaminally.

BACKGROUND OF THE INVENTION

The vertebrate spine is the axis of the skeleton providing structural support for the other body parts. In humans, the normal spine has seven cervical, twelve thoracic and five lumbar segments. The lumbar spine sits upon the sacrum, which then attaches to the pelvis, and in turn is supported by the hip and leg bones. The bony vertebral bodies of the spine are separated by intervertebral discs, which act as joints but allow known degrees of flexion, extension, lateral bending, and axial rotation.

The typical vertebra has a thick anterior bone mass called the vertebral body, with a neural (vertebral) arch that arises from the posterior surface of the vertebral body. The centra of adjacent vertebrae are supported by intervertebral discs. Each neural arch combines with the posterior surface of the vertebral body and encloses a vertebral foramen. The vertebral foramina of adjacent vertebrae are aligned to form a vertebral canal, through which the spinal sac, cord and nerve rootlets pass. The portion of the neural arch which extends posteriorly and acts to protect the spinal cord's posterior side is known as the lamina. Projecting from the posterior region of the neural arch is the spinous process.

The intervertebral disc primarily serves as a mechanical cushion permitting controlled motion between vertebral segments of the axial skeleton. The normal disc is a unique, mixed structure, comprised of three component tissues: the nucleus pulposus ("nucleus"), the annulus fibrosus ("annulus") and two vertebral end plates. The two vertebral end plates are composed of thin cartilage overlying a thin layer of hard, cortical bone which attaches to the spongy, richly vascular, cancellous bone of the vertebral body. The end plates thus act to attach adjacent vertebrae to the disc. In other words, a transitional zone is created by the end plates between the malleable disc and the bony vertebrae.

The spinal disc and/or vertebral bodies may be displaced or damaged due to trauma, disease, degenerative defects, or wear over an extended period of time. One result of this displacement or damage to a spinal disc or vertebral body may be chronic back pain.

A disc herniation occurs when the annulus fibers are weakened or torn and the inner tissue of the nucleus becomes permanently bulged, distended, or extruded out of its normal, internal annulus confines. The mass of a herniated or "slipped" nucleus tissue can compress a spinal nerve, resulting in leg pain, loss of muscle control, or even paralysis. Alternatively, with discal degeneration, the nucleus loses its water binding ability and deflates, as though the air had been let out of a tire. Subsequently, the height of the nucleus decreases causing the annulus to buckle in areas where the

2

laminated plies are loosely bonded. As these overlapping laminated plies of the annulus begin to buckle and separate, either circumferential or radial annular tears may occur, which may contribute to persistent or disabling back pain.

Adjacent, ancillary spinal facet joints will also be forced into an overriding position, which may create additional back pain.

Whenever the nucleus tissue is herniated or removed by surgery, the disc space will narrow and may lose much of its normal stability. In many cases, to alleviate back pain from degenerated or herniated discs, the disc is removed along with all or part of at least one neighboring vertebrae and is replaced by an implant that promotes fusion of the remaining bony anatomy.

While this treatment may help alleviate the pain once the vertebrae have been successfully fused together, there remains the possibility that the surgical procedure may not successfully or fully bring about the intended fusion. The success or failure of spinal fusion may depend upon several factors. For instance, the spacer—or implant or cage—used to fill the space left by the removed disc and bony anatomy must be sufficiently strong to support the spine under a wide range of loading conditions. The spacer should also be configured so that it is likely to remain in place once it has been positioned in the spine by the surgeon. Additionally, the material used for the spacer should be a biocompatible material and should have a configuration that promotes bony ingrowth.

As a result, the design of the implant should provide sufficient rigidity and strength to resist deformation when loading forces are applied to it. Likewise, the implant should sufficiently resist sliding or movement of the implant as a result of torsional or shearing loads. Often, these parameters lead designers to select predominantly solid structures made of bone or of radio opaque materials such as titanium.

Instrumentation and specialized tools for insertion of an intervertebral implant is yet another design parameter to consider when designing a spacer. Spinal fusion procedures can present several challenges because of the small clearances around the spacer when it is being inserted into position. For instance, the instrumentation used may securely grip the implant on opposing sides or surfaces. For example, the superior and inferior surfaces may have one or more regions in which no gripping teeth are present. Such protrusion-free zones enable the implant to be grasped and manipulated by elongate rectangular blades. Notably, these protrusion-free zones are not formed as channels cut into the surface of the implant in order to maintain the strength and integrity of the implant so that it is less prone to failure. Thus, the clearance required in order to insert the spacer must be higher than the spacer itself in order to accommodate the instrumentation. For this reason, distraction of the treated area typically is greater than the implant itself.

Similarly, when the gripping tools used to manipulate and insert the implant are on the sides of the spacer, additional clearance typically is needed in order to accommodate the added width of the insertion tool blades. Such increases in height or width of the profile of the spacer when coupled or in communication with instrumentation means that additional space is needed in order to insert the spacer. In some circumstances, providing for this additional clearance space can be difficult to achieve.

Thus, despite known devices that promote fusion of a treated area of the spine, there remains a need for spacer designs that optimize bony ingrowth, have structural rigidity

to support the spine under a variety of loading conditions, and allow for insertion through a smaller profile.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a perspective exploded view of one embodiment of an implant according to the invention;

FIG. 2 is a top view of an assembled implant of the embodiment of FIG. 1;

FIG. 3 is a cross-sectional view of the implant of FIG. 2 taken along the line A-A;

FIGS. 4A-4D are perspective views of a support body of the implant of FIGS. 1-3

FIGS. 5A-5D are top, rear, side, and front views, respectively, of the support body FIGS. 1-4;

FIG. 6 is an exploded view of one embodiment of an insertion instrument according to the invention;

FIG. 7 is an assembled view of the instrument of FIG. 6 having an implant of FIG. 1 attached thereto;

FIG. 8 is an assembled view of an alternate embodiment of an instrument according to the invention;

FIGS. 9-12 are views depicting the articulation of the implant of FIG. 1 with respect to installation instruments according to the invention;

FIGS. 13-16 are views showing the placement of an implant of the invention between vertebral bodies using an instrument of the invention; and

FIGS. 17-18 are side perspective views of alternative embodiments of implants.

DETAILED DESCRIPTION OF THE ILLUSTRATED EMBODIMENTS

Embodiments of the present invention are generally directed to implantable spacers that can be used to fuse together a treated area of the spine while restoring or maintaining the proper spacing and natural curvature of the spine. The treated area may include regions between adjacent vertebral bodies so that the height of the spacer corresponds approximately to the height of the disc. In some embodiments, the height of the spacer may be greater than the height of a disc alone. For instance, the treated area of the spine may be prepared by the physician by removing all or part of at least one vertebral body.

As explained in greater detail below, several features of the invention allow for more efficient insertion or placement of the spacers into a desired position. Additionally, aspects of the invention also provide suitable rigidity and integrity for supporting the spine during fusion while also providing greater ability to confirm that fusion is taking place as desired.

One feature that may result in more efficient insertion or placement of embodiments of spacers according to the invention concerns how the spacers may receive instrumentation for manipulation and insertion of the spacer into its proper position. As mentioned above, conventional tooling for manipulating the spacer generally requires that there be greater clearances in the treated area than needed for the spacer alone in order to accommodate the portions of the tooling that extend beyond the surface of the spacer. In contrast, some embodiments of the present invention do not require an insertion area that is larger than the spacer. Thus, in one embodiment the spacer has one or more tooling engagement surfaces disposed on opposing surfaces of the spacer. The spacer is thereby capable of being manipulated or inserted into position by gripping the engagement surfaces with a suitable tool.

For instance, one example of a suitable gripping tool may be a device having a plurality of arms that may be selectively expanded or opened and subsequently closed or compressed onto the engagement surface. In one embodiment, the engagement surface is formed from plurality of channels formed in the spacer. In one variation, there is a channel located at each engagement surface in which the arms of the manipulating or insertion tool may be disposed to further help ensure that the tooling does not project beyond the largest cross-sectional view of the spacer when viewed along the direction in which the spacer will travel during insertion.

Once the spacer has been moved into position, it is desirable for it to have sufficient structural rigidity or integrity that the spacer does not buckle or otherwise fail under loading by the spine. In general, the spacer should be configured so that it meets requirements for axial compression, axial torsion, subsidence, and resistance to expulsion. As used herein, structural rigidity or integrity refers to the capability of the spacer to resist axial compression and axial torsion without buckling or otherwise failing.

In order to minimize the risk of failure from compressive or torsional loading, it is preferred that the spacer meets or exceeds minimum structural rigidity values. In general, it is preferred that the rigidity of the spacer exceeds the rigidity of the neighboring vertebral bodies to ensure that the spacer does not collapse or fail under loading conditions first. For instance, in one embodiment the spacer is capable of bearing axial compression loads of about 10 kN or more, while in another the spacer is capable of undergoing axial compression loading of about 15 kN or more. In general, increases in rigidity often can lead to larger bulk or size of the spacer. Thus, while the spacer should be sufficiently rigid to withstand expected loading conditions, eventually the benefits of increasing rigidity become outweighed by other disadvantages such as overall size of the spacer or its ability to provide through holes for promoting fusion. For example, in one embodiment, the spacer is capable of bearing axial loads of about 30 kN or less, while in another the spacer is capable of withstanding about 25 kN or less of axial compression. Additionally, these upper and lower limits may be combined in any manner desired. For instance, a spacer of the present invention may be capable of bearing axial compression loads from about 10 kN to about 30 kN, from about 15 kN to about 25 kN, or from about 10 kN to about 25 kN.

Likewise, the spacer may be capable of resisting torsional loading at least to the degree of torsional resistance that a healthy disc could provide. In one embodiment, the spacer is capable of resisting about 1.8 Nm or more of torsional loading. In alternate embodiments, however, the spacer is capable of resisting about 40 Nm or more of torsional loading.

In addition to having structural rigidity or integrity, the spacer should be configured so that it subsides in a desired position without substantially sinking into or piercing nearby anatomy when subjected to axial loading. Different regions of the spine have different sized vertebral bodies, each of which may be subjected to different types and amounts of loading. For instance, vertebral bodies in the lumbar region of the spine are generally larger than vertebral bodies in the cervical region. Typically, the lumbar region of the spine may be subjected to approximately 450 N or more of standing trunk weight, whereas the cervical region may only be subjected to about 50 N of head weight. The larger size of the vertebral bodies in the lumbar region helps distribute the increased loading over a greater area.

The spacer also may be configured to resist threshold amounts of expulsion forces. For example, a normal disc may be capable of resisting shear stresses up to about 150 N.

5

Therefore, the spacer may be configured to withstand at least the same degree of shear loading without moving out of its desired position. More preferably, however, the spacer is capable of withstanding even greater shear stresses. For example, the disc may be capable of withstanding about 600 N or more of shear loading, and in another embodiment it is capable of withstanding about 900 N or more. This feature of the spacer is primarily dependent on the configuration of the protrusions placed on the upper and lower surfaces of the spacer. Thus, the spacer may be configured to withstand even more shear stress, such as loading of about 1000 N or more.

The height of a spacer may be varied depending upon the height of the area of the spine that is to be treated. For this reason, a plurality of spacers having varying heights may be provided to a physician. This allows the physician to select from a variety of spacer heights during a surgical procedure. In one embodiment, the height of the window also increases as the overall height of each spacer increases, which in turn may change or alter the relationship between the area of the window and the area of the blocked by the material forming the spacer. One alternative way to describe the spacer window size is by the span or horizontal width of the window.

Fusion typically is expected to begin in the anterior region of the treated area. One reason for this may be that the anterior region may undergo more axial loading than the posterior region. The additional pressure in this region may trigger fusion to begin. Thus, the lines of sight created by the openings or windows may be positioned so that they intersect in an anterior region of the treated area.

Any biocompatible material may be used to form a spacer of the present invention. For example, suitable materials for forming spacers of the present invention may include, but are not limited to, titanium and other surgical grade metals and metal alloys. Since metals and metal alloys generally are radio-opaque, several of the advantages of providing large openings or windows in order to view the treated area will be apparent when the spacer is made of these materials. In addition, radiolucent materials also may be used to form spacers of the present invention. For example, either all or a substantial portion of the spacer may be formed of Polyetheretherketone (PEEK) polymers or similar materials. A spacer made of PEEK or other radiolucent material may further comprise a pin disposed within the spacer that helps a physician identify the orientation of the spacer during insertion. Other materials likewise may be used to form all or part of the spacers of the present invention. For example, all or a portion of the spacer may be formed of bioresorbable materials that, over time, may be resorbed and replaced by bone.

These and other features are explained more fully in the embodiments illustrated below. It should be understood that in general the features of one embodiment also may be used in combination with features of another embodiment and that the embodiments are not intended to limit the scope of the invention.

Referring to FIGS. 1-5, one embodiment of a spacer or implant 10 according to the invention comprises a support body 12 and a rotatable insert 14 assembly. Support body 12 may have an arcuate or curved shape extending laterally from a proximal end portion 16 to a distal end portion 18. The distal end 18 portion may have a tapered end 20 narrowing towards the distal most end. In one embodiment, a longitudinal opening 22 may extend through implant 10 to facilitate bone growth through implant 10 and fusion when implanted. A plurality of protrusions or teeth 24 may be provided along the superior and inferior end surfaces to facilitate prevention of expulsion of implant 10 from between the adjacent vertebral bodies between which it may be implanted.

6

In one variation a longitudinal hole 26 may be provided to accommodate insert 14. In this regard, hole 26 may be configured and dimensioned to receive insert 14 and permit rotational movement between insert 14 and support body 12. In one variation, insert 14 has a cylindrical shape and allows the implant 10 to turn freely when desired but may be locked, fixed, or stabilized in a predetermined position by insertion tool 28. For example, the position may be locked for initial insertion by a sleeve, holder, or stabilization member. According to one embodiment, insert 14 may be captured within hole 26 of support body 12 by a circumferential rib 32 on the insert 14 that mates to a corresponding indentation shaped on the support body 12. In this regard, once assembled, insert 14 is generally constrained longitudinally with respect to support body 12. Insert 14 may have a threaded hole 34 therein extending transverse to longitudinal axis 36 to interface with insertion tool 28. An indentation, marking or other alignment mechanism 37 may be aligned with hole 34 and may be provided in the superior surface of insert 14 so that a user may visually align the hole 34 with an opening in the proximal end 16 of implant 10. A slot 38 may be provided adjacent the threaded hole 34 to provide counter-torque and or stabilization to insert 14 and to facilitate threaded insertion of the insertion instrument 28 with the insert 14. In one variation, slot 38 runs generally perpendicular to threaded hole 34. As shown in FIGS. 1, 4A-D, and 5B, the proximal end portion 16 of implant 10 may have a rounded shape and may include one or more slots or grooves 40, 46 to engage insertion instrument 28 to facilitate insertion of spacer 10. In one embodiment, groove 40 extends adjacent the proximal end and extends along the interior curved wall of support body 12. Groove 40 has an opening in communication with hole 26 to allow a part of insertion tool 28 to engage threaded hole 34. For example, in one variation insertion tool 28 may include a shaft 42 with a threaded tip portion 44 to threadedly engage insert 14. As shown in FIG. 4B another slot 46 may be provided adjacent the outer curved wall of support body 12. One or more indentations 48 may be provided within groove 46 to accommodate protrusions on insertion tool to enhance gripping of the insertion tool 28 with spacer 10.

One or more openings 50 may be provided extending through the curved side walls and into the central longitudinal opening 22. Openings 50 may facilitate bony ingrowth and may provide a window through which bony fusion may be visually confirmed. As best seen in FIGS. 2-3, when implant 10 is made from radiolucent material such as PEEK, one or more radio-opaque markers 51 may be integrated into implant 10 such that the implant may be viewed and or located when using fluoroscopy.

Referring to FIG. 6, an exploded view of one embodiment of an insertion instrument 28 according to the invention is shown. Instrument 28 generally comprises an implant stabilizer 62, an insert stabilizer 64, and a central shaft 42 extending through the implant and insert stabilizers 62, 64. As explained above, central shaft 42 has a threaded distal tip portion 44 to threadedly engage insert 14. Insert stabilizer 64 may have a generally cylindrical body extending from a proximal end 66 to a distal end 68. Insert stabilizer 64 is cannulated to accommodate central shaft 42 therethrough. In one variation, distal end 68 of insert stabilizer 64 has a forked free end 70 with a pair of tongs or prongs 72 spaced apart and extending distally therefrom. The prongs 72 are configured and dimensioned to engage slot 38 of insert 14. A keyed slot 74 may be provided adjacent the distal end 68 and extending proximally therefrom. Keyed slot 74 is generally configured and dimensioned to engage and interface with a pin 76 provided in implant stabilizer 62. The proximal end 66 of insert

7

stabilizer 64 may have an opening 78 extending transversely therethrough configured and dimensioned to receive a rotatable wheel or thumbwheel 80. Thumbwheel 80 has a central opening configured to receive central shaft 42 therethrough and a set screw 82 may extend through the thumbwheel 80 to axially and rotationally fix central shaft 42 to thumbwheel 80 so as to rotationally constrain central shaft 42 to thumbwheel 80. In this regard, in operation a surgeon utilizing the installation instrument 28 may rotate the central shaft 42 by rotating the thumbwheel 80 about axis 84. An externally threaded region 86 may be provided adjacent opening 78 to interface or otherwise engage an internally threaded stabilizer lock wheel 88.

Implant stabilizer 62 may be a generally cylindrical cannulated body extending from a proximal end 92 to a distal end 94 configured and dimensioned to extend over insert stabilizer 64. In one variation, distal end 94 of implant stabilizer 62 has a forked free end 96 with a pair of tongs or prongs 98 spaced apart and extending distally therefrom. Prongs 98 are configured and dimensioned to engage the slots or grooves 40, 46 of implant 12. A flange or shoulder 100 may be provided adjacent proximal end 92 and flange 100 may engage a slot 102 in stabilizer lock wheel 88 to constrain axial movement between the implant stabilizer 62 and stabilizer lock wheel 88 yet allow rotational movement therebetween. In this regard, as stabilizer lock wheel 88 is rotated about threaded region 86, the distal end 94 of implant stabilizer 62 may be advanced or moved along axis 84 to engage the slots 40, 46 on implant 10 so as to stabilize implant 10 with respect to prongs 98. With prongs 98 engaged with slots 40, 46, implant 10 is rigidly attached to instrument 28 and locked rotationally such that implant 10 is prevented from being rotated or articulated with respect to insertion tool axis 84. Those skilled in the art may appreciate the desirability of such a feature when, for example, the spacer may be hammered or impacted into place between adjacent vertebrae. In this regard, the surgeon user may apply axial force on the insertion tool axis without risk that such impaction will cause the spacer to rotate or articulate with respect to axis 84. If and when the surgeon user desires to allow the implant to articulate with respect to axis 84, he may disengage the implant stabilizer 62 from the implant to selectively allow the implant to articulate with respect to axis 84. In one variation, an ergonomic handle 104 may be connected to the proximal end 92 of instrument 28 to facilitate handling and/or impaction. Referring to FIG. 8, in an alternate embodiment an alternate T-shaped handle 106 may be connected to proximal end 92 of instrument 28. Those skilled in the art may appreciate that such a T-shaped handle may facilitate, among other things, enhanced visibility of the surgical site by a surgeon user.

Referring now to FIGS. 9-12, the articulatability of implant 10 with respect to installation instrument 28 is shown. As shown in FIG. 9, implant stabilizer 62 is engaged on implant 10 so as to stabilize implant 10 and/or rigidly attach to instrument 28. In this position, implant 10 is locked rotationally such that it is prevented from being rotated or articulated with respect to insertion tool axis 84. In one variation, shown in FIG. 9, implant 10 may extend in a generally axial direction along axis 84 from the end of tool 28. Referring to FIGS. 10-12, with implant stabilizer 62 disengaged from implant 10, the implant is free to rotate or articulate with respect to axis 84 of insertion tool 28. In one variation, implant 10 may articulate or rotate between about 0 degrees (FIG. 10) and about 90 degrees (FIG. 12).

Referring now to FIGS. 13-16, one embodiment of a method of using implant 10 in conjunction with inserter 28 is shown. In a first step, the implant 10 may be threadedly

8

engaged onto insertion tool 28 using thumbwheel 80 to rotate central shaft 42 and threadedly engage the threaded distal tip portion 44 into threaded hole 34 of insert 14. The stabilizer lock 88 may then be turned clockwise to engage implant stabilizer prongs 98 with slots 40, 46 on implant 10 to prevent implant 10 from rotating about insertion tool axis 84. In this position, with the implant 10 fixed or stabilized with respect to the insertion instrument 28, the insertion tool 28 may be impacted from the proximal end. For instance according to one method shown in FIG. 13, a surgeon may impact the proximal end of handle 104 to achieve a desired positioning or depth between adjacent vertebral bodies using, for example, a transforaminal approach. As explained above, the surgeon user may apply axial force on the insertion tool axis without risk that such impaction will cause the implant to rotate with respect to axis 84. The tapered distal end 20 of implant 10 may facilitate distraction separation or spreading apart of the adjacent vertebral bodies. A counter lock may be provided on the installation instrument to prevent stabilizer lock 88 from moving and to prevent implant stabilizer 62 from disengaging from implant 10 during impaction.

Referring to FIG. 14, once impacted to a desired position, the implant 10 may be released from the implant stabilizer 62 by turning the stabilizer lock counterclockwise and retracting stabilizer 62 axially to disengage prongs 98 from slots 40, 46. With the implant stabilizer 62 released the implant 10 is free to articulate at the end of insertion tool 28. In this regard, in this position support body 12 may rotate about insert 14 and a central rotation axis 36 extending through the center of insert 14. Referring to FIGS. 15-16, once the stabilizer 62 is released a surgeon may impact the instrument further to advance the implant 10 into the intervertebral space. In this regard, the outer sidewall of distal end 16 of support body 12 is configured and dimensioned to contact or otherwise engage the epiphyseal ring on an anterior portion of a vertebral disc to provide force on the distal end 16 causing implant 10 to rotate in-situ in the intervertebral space about the axis of rotation 36. In certain methods, such rotation may accompany axial translation of axis 36 toward the anterior portion of the disc space, for example, upon impaction of installation instrument 28. Referring to FIG. 16, according to one method, implant 10 may reach a desired or final installation or implantation position wherein implant 10 is positioned adjacent the epiphyseal ring along the anterior portion of the disc space. Once the desired or final installation position of implant 10 is reached, the insertion instrument 28 may be released from implant 10 by unthreading the connection between insertion instrument 28 and insert 14 and insertion instrument 28 may be removed from the body. In this regard, implant 10 and insert 14 are configured and dimensioned to remain implanted in the patient.

Referring to FIGS. 17-18, alternative embodiments of implants 110 and 120 according to the invention are shown.

While it is apparent that the invention disclosed herein is well calculated to fulfill the objects stated above, it will be appreciated that numerous modifications and embodiments may be devised by those skilled in the art.

We claim:

1. An assembly for implant installation between adjacent vertebral bodies of a patient, comprising:

an implant having a proximal end portion, a distal end portion, a first curved lateral wall extending from the proximal end portion to the distal end portion, and a second curved lateral wall extending from the proximal end portion to the distal end portion;

wherein the implant includes a superior surface and an inferior surface, wherein at least one of the superior

9

surface and inferior surface include a plurality of protrusions to facilitate prevention of expulsion of the implant once installed;

wherein the implant defines an opening extending from the superior surface to the inferior surface and positioned between the proximal end portion and the distal end portion;

wherein the implant includes an engagement surface at the proximal end; and an installation instrument removably attached to the proximal end portion of the implant and engageable with the engagement surface to selectively permit rotation between the instrument and the implant, the installation instrument extending along a longitudinal tool axis, wherein the installation instrument includes a first prong, a second prong, a first tong and a second tong, wherein the first tong is located laterally outwardly from at least a portion of an outer side surface of the first prong and the second tong is located laterally outwardly from at least a portion of an outer side surface of the second prong,

wherein the installation instrument includes a first position and a second position, wherein in the first position at least a portion of the installation instrument is distally closer to the distal end portion of the implant than in the second position, wherein in the first position the implant is rotationally fixed with respect to the instrument and in a second position the implant is rotatable with respect to the instrument.

2. The assembly of claim 1, wherein at least a portion of at least one of the first curved lateral wall and the second curved lateral wall includes at least one groove, the groove extending from at least one of the first curved lateral wall and the second curved lateral wall in a direction along a longitudinal length of the implant to the proximal end of the implant.

3. The assembly of claim 2, wherein the opening in the implant includes a first convex end, a second convex end, a first inner curved lateral wall and a second inner curved lateral wall, wherein the first convex end extends from the first inner curved lateral wall to the second inner curved lateral wall, and wherein the second convex end extends from the first inner curved lateral wall to the second inner curved lateral wall.

4. The assembly of claim 1, wherein the engagement surface is part of an engagement member.

5. The assembly of claim 4, wherein the engagement member is rotatable.

6. The assembly of claim 1, wherein the portion of the installation instrument that is positioned closer to the distal end portion of the implant in the first position than in the second position comprises at least the first tong and the second tong.

7. The assembly of claim 6, wherein the installation instrument further comprises a shaft attached to at least one of the first prong and the second prong.

8. The assembly of claim 7, wherein the first prong and the second prong are received in a groove formed between the superior surface and the inferior surface.

9. The assembly of claim 1, wherein the first curved lateral wall of the implant is convexly curved and the second curved lateral wall of the implant is concavely curved, wherein the implant includes at least one radiopaque marker, wherein the radiopaque marker is positioned closer to the convexly curved lateral wall than the concavely curved lateral wall.

10. The assembly of claim 9, wherein the at least one radiopaque marker is positioned in a channel that extends from at least one of the superior surface or the inferior surface of the implant.

10

11. The assembly of claim 10, wherein the implant includes a longitudinal axis that extends from the proximal end portion to the distal end portion and a transverse axis that is transverse to the longitudinal axis, wherein the transverse axis extends in a direction from the convexly curved lateral wall to the concavely curved lateral wall, wherein along the transverse axis from the convexly curved lateral wall to the concavely curved lateral wall the implant comprises a continuous surface on at least one of the superior surface and the inferior surface having at least several protrusions.

12. The assembly of claim 11, wherein the plurality of protrusions are distributed such that the implant can withstand shear loading of 900 N or more.

13. A method for implant installation between adjacent vertebral bodies of a patient, comprising the steps of:

assembling an implant onto an insertion tool, the insertion tool having a longitudinal tool axis, wherein the insertion tool includes a first prong, a second prong, a first tong and a second tong, wherein the first tong is located laterally outwardly from at least a portion of an outer side surface of the first prong and the second tong is located laterally outwardly from at least a portion of an outer side surface of the second prong;

wherein the implant has a curved shape extending laterally from a proximal portion to a tapered distal portion; wherein the implant includes a superior surface and an inferior surface,

wherein at least one of the superior surface and inferior surface include a plurality of protrusions to facilitate prevention of expulsion of the implant once installed;

wherein the implant defines a longitudinal opening extending from the superior surface to the inferior surface and positioned between the proximal portion and the tapered distal portion,

wherein the implant includes a first side surface having a first grooved portion and a second side surface having a second grooved portion, wherein the first grooved portion is positioned in a first lateral wall between the superior surface and the inferior surface and the second grooved portion is positioned in a second lateral wall between the superior surface and the inferior surface;

moving the insertion tool to a first position wherein the implant is rotationally fixed with respect to the longitudinal tool axis, wherein in the first position the first prong is received in the first grooved portion between the superior surface and the inferior surface and the second prong is received in the second grooved portion between the superior surface and the inferior surface;

placing the implant between adjacent vertebral bodies of a patient;

impacting a proximal end of the insertion tool to provide force in the direction of the longitudinal tool axis to advance the implant further between the adjacent vertebral bodies into an intervertebral space therebetween;

moving the insertion tool to a second position wherein the implant is able to rotate with respect to the longitudinal tool axis;

advancing the implant further between the adjacent vertebral bodies, the advancement including at least axial translation or rotational translation; and

disengaging the insertion tool from the implant and removing the insertion tool from the patient.

14. The method of claim 13, wherein the tapered distal portion of the implant separates the adjacent vertebral bodies when the insertion tool is in a first position and the proximal end of the insertion tool is impacted.

11

15. The method of claim 13, wherein a lock is provided on the insertion tool to prevent the insertion tool from disengaging from the implant during impaction.

16. The method of claim 13, wherein the longitudinal opening has a first convex end and a second convex end.

17. The method of claim 13, wherein the implant further includes an engagement surface at its proximal portion.

18. The method of claim 17, wherein the engagement surface is part of an engagement member.

19. The method of claim 13, wherein the first grooved portion is separated from the second grooved portion.

20. The method of claim 13, wherein the longitudinal opening of the implant comprises a first convex end and a second convex end, wherein the first convex end is separated from the second convex end by a convex sidewall and a concave sidewall.

21. The method of claim 20, wherein the implant comprises a convex surface that extends from the proximal portion to the tapered distal portion and a concave surface that extends from the proximal portion to the tapered distal portion, wherein the implant further comprises at least one radiopaque marker, wherein the at least one radiopaque marker is positioned closer to the convex surface than the concave surface.

22. The method of claim 21, wherein the at least one radiopaque marker is located within a channel that extends through at least one of the superior surface and the inferior surface.

23. The method of claim 22, wherein the plurality of protrusions are distributed such that the implant can withstand 900 N or more of shear loading, and wherein at least several protrusions are aligned in an axis that extends from the convex surface to the concave surface.

24. An assembly for implant installation between adjacent vertebral bodies of a patient, comprising:

an implant having a proximal end portion, a distal end portion, a first curved lateral wall extending from the proximal end portion to the distal end portion, and a second curved lateral wall extending from the proximal end portion to the distal end portion;

wherein the implant includes a superior surface and an inferior surface, wherein at least one of the superior surface and inferior surface include a plurality of protrusions to facilitate prevention of expulsion of the implant once installed;

wherein the implant defines an opening extending from the superior surface to the inferior surface and positioned in between the proximal end portion and the distal end portion, wherein the opening comprises a first convex end, a second convex end, a first inner curved lateral wall and a second inner curved lateral wall, wherein the first convex end extends from the first inner curved lateral wall to the second inner curved lateral wall and the second convex end extends from the first inner curved lateral wall to the second inner curved lateral wall;

12

wherein at least a portion of the first curved lateral wall includes a groove, the groove extending from the first curved lateral wall in a direction along a longitudinal length of the implant to the proximal end;

wherein the implant includes an engagement surface at the proximal end; and an installation instrument removably attached to the proximal end portion of the implant and engageable with the engagement surface to selectively permit rotation between the instrument and the implant, the installation instrument extending along a longitudinal tool axis, wherein the installation instrument includes a first prong, a second prong, a first tong and a second tong, wherein the first tong is located laterally outwardly from at least a portion of an outer side surface of the first prong and the second tong is located laterally outwardly from at least a portion of an outer side surface of the second prong; and

wherein the installation instrument includes a first position and a second position, wherein in the first position at least a portion of the installation instrument is distally closer to the distal end portion of the implant than in the second position, wherein in the first position the implant is rotationally fixed with respect to the instrument and in a second position the implant is rotatable with respect to the instrument.

25. The assembly of claim 24, wherein the second curved lateral wall includes the groove, the groove extending from the proximal end to the second curved lateral wall.

26. The assembly of claim 24, wherein the first lateral wall has an opening and the second lateral wall has an opening and wherein the opening on the first lateral wall is in fluid communication with the opening on the second lateral wall.

27. The assembly of claim 24, wherein the first curved lateral wall is convexly curved and the second curved lateral wall is concavely curved, wherein the implant further comprises at least one radiopaque marker that is positioned closer to the first curved lateral wall than the second curved lateral wall.

28. The assembly of claim 27, wherein the at least one radiopaque marker is positioned in a channel that extends from at least one of the superior surface and the inferior surface of the implant.

29. The assembly of claim 28, wherein the plurality of protrusions are distributed such that the implant can withstand shear loading of 900 N or more, and wherein at least several protrusions are aligned in an axis that extends from the convex surface to the concave surface.

30. The assembly of claim 29, wherein the engagement surface is part of an engagement member.

31. The assembly of claim 30, wherein the engagement member is rotatable.

* * * * *